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February 25, 2000

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Assistant Commissioner for Patents
Washington, D.C. 20231

Re: U.S. Appl. No. 09/394,712
Filed: Sept. 13, 1999
For: **Method for Treating or Preventing Alzheimer's Disease**
Inventor(s): Robert W. Esmond, Jack R. Wands and Suzanne de la Monte
Our Ref: 0609.4440002

Sir:

The following documents are forwarded herewith for appropriate action by the U.S.
Patent and Trademark Office:

1. First Supplemental Information Disclosure Statement;
2. Form PTO-1449 (7 sheets); and
3. Seventeen (17) references.

Respectfully submitted,

Robert W. Esmond
Registration No. 32,893
Attorney for Applicants

FEB 28 2000
TECH CENTER 1600/2900

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Esmond *et al.*

Appl. No. 09/394,712

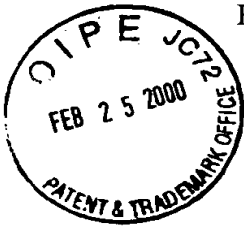
Filed: Sept. 13, 1999

For: **Method for Treating or
Preventing Alzheimer's Disease**

Art Unit: 1614

Examiner:

Atty. Docket: 0609.4440002



First Supplemental Information Disclosure Statement

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Listed on accompanying Form PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98. The numbering on this First Supplemental Information Disclosure Statement is a continuation of the numbering in Applicants' Information Disclosure Statement filed on February 11, 2000, in connection with the above-captioned application.

Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not in issue. Applicants have listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be

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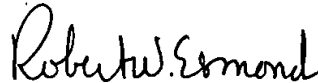
prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith. It is further understood that the Examiner will consider information that was cited or submitted to the U.S. Patent and Trademark Office in a prior application relied on under 35 U.S.C. § 120. 1138 OG 37, 38 (May 19, 1992).

Consideration of the cited documents and making the same of record in the prosecution of the above-identified application is respectfully requested. The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. A duplicate copy of this pleading is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



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Date: Feb. 25, 2000

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